



September 1, 2016

Via Certified (No. 7016 0340 0000 8564 6624), First Class Mail and Email [REDACTED]

Behnam Halali
c/o Ali Moghaddami, Esq.
333 E. Glenoaks Blvd. Suite 202
Glendale, CA 91207

Re: Bar from Association with any FINRA member (FINRA Rule 9552)
Behnam Halali, CRD No. 6069304
Matter No. 20150472643-01

Dear Mr. Halali:

Please be advised that, pursuant to FINRA Rule 9552(h) and, in accordance with FINRA's Notice of Suspension letter dated May 27, 2016 and the Suspension from Association letter dated June 20, 2016, you were barred from associating with any FINRA member in any capacity on September 1, 2016.

If you seek to appeal this regulatory action to the U.S. Securities and Exchange Commission (SEC), you must file an application with the SEC at the address listed below. To comply with the SEC's rule regarding timeliness, you must file the application for review within thirty days of your receipt of this letter. Also, a copy of the application, as well as copies of all documents you file with the SEC in connection with this matter, must be sent to FINRA. The SEC and FINRA addresses are as follows:

Office of the Secretary
U.S. Securities and Exchange Commission
100 F Street, NE
Mail Stop 1090
Washington, DC 20549

Alan Lawhead, Esq.
Office of General Counsel
FINRA
1735 K Street, NW
Washington, DC 20006

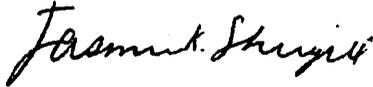
Any documents provided to the SEC via facsimile or overnight mail should also be provided to FINRA by similar means.

If you file an application for review with the SEC, the application must identify the FINRA case number and set forth in summary form a brief statement of alleged errors in the determination and the supporting reasons. You must also include an address where you may be served and phone number where you may be reached during business hours. If your address or phone number changes, you must advise the SEC and FINRA. Attorneys must file a notice of appearance.

Behnam Halali
September 1, 2016
Page 2

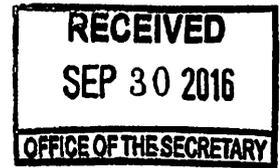
Questions regarding the appeal process may be directed to the Office of the Secretary at the SEC.
The phone number of that office is (202) 551-5400.

Very truly yours,



Jasmine K. Shergill
Senior Attorney

cc: Donald K. Lopezi, Regional Director (District 1 – San Francisco)
Edwin Aradi, Senior Counsel



To whom it may concern at the Securities and Exchange Commission,

Please consider this letter my written application for appeal regarding matter no 20150472643-01 for Behnam Halali CRD no 6069304. Please mail any further correspondence to me at

Behnam Halali



3-17610

Sincerely,

A handwritten signature in black ink, appearing to be "Behnam Halali", written over a horizontal dashed line.

Behnam Halali